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Express Mail No.: EV 734459537 US

PATENT
130576



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Ruediger Mader Viertl et al.

:
: Art Unit: 2878

Serial No.: 10/722,298

:
: Examiner: Not Assigned Yet

Filed: November 25, 2003

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For: METHODS AND APPARATUS FOR
EVALUATING ROTARY MACHINERY

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☐ 1. A list of documents on form PTO/SB/08A (Substitute for form 1449/PTO) together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

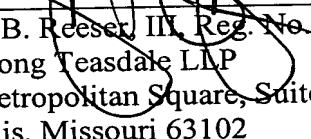
This paper is submitted in accordance with:

- ☐ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☒ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☒ a. The required Certification made in item 5 below; or
- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- ☐ a. The required Certification is stated in item 5 below; and
- ☐ b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☒ 5. Certification
- ☒ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 6. Payment of all applicable fees:
- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384.
- ☐ Enclosed is a check in the amount of \$ _____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully Submitted,


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PATENT
Atty. Dkt. No. 130576

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Ruediger Mader Viertl et al. :
Serial No.: 10/722,298 : Art Unit: 2878
Filed: November 25, 2003 : Examiner: TBA
For: METHODS AND APPARATUS FOR :
EVALUATING ROTARY MACHINERY :

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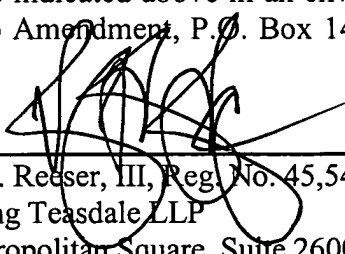
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- Supplemental Information Disclosure Statement Transmittal
- Information Disclosure Statement Form 1449 (2 page)
- Cited References (27 pgs.)
- Certificate of Mailing Via Express Mail (1 page)
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Approved for use through 07/31/2006. OMB 0651-0031

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|------------------------|-------------------|
| Application Number | 10/722,298 |
| Filing Date | November 25, 2003 |
| First Named Inventor | Viertl et al. |
| Art Unit | 2878 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 130576 |

(Use as many sheets as necessary)

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|-------|---|----|---|
| Sheet | 1 | of | 2 |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ *Number ⁴ *Kind Code ⁵ (if known) | | | | |
| | BA | WO 00/14516 | 03-16-2000 | Chadwick | | |
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| Substitute for form 1449/PTC. SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Complete if Known | | | |
| | | Application Number | 10/722,298 | | |
| | | Filing Date | November 25, 2003 | | |
| | | First Named Inventor | Viertl et al. | | |
| | | Art Unit | 2878 | | |
| | | Examiner Name | Not Yet Assigned | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 130576 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | CA | Novelty search report issued from the EP Patent Office (3 pgs.); International Application of: General Electric Company; EP Patent App. No.: 04257276.8 | |
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